

# ROK Solution and the banking sector


Monitoring your risks in real time, identifying and overseeing processes, and reporting incidents are only possible if your application is integrated: BPM and GRC. ROK is the only solution that allows you to effectively respond to Basel III standards (pillar 2, specifically) with a



**Ad hoc monitoring of operational procedures in real time**

**Monitoring operational risks requires a process map that is integrated with the different organizations in perpetual motion.**

Given that ROK Solution is an integrated solution, **the processes are linked to the organization and are immediately updated** as soon as changes are made to the organizational chart and/or IT user rights modified. Any apparent anomaly is **generated in real time.**



**Risk mapping, incident report forms, and tailor-made reporting**

**Large Excel spreadsheets that are cumbersome to update; a weak security level. Rigid GRC tools requiring large, rigid, and costly parameters. Rigid self-assessment forms or inflexible, difficult incidents "imposed" on employees ...**

The settings for risks, impacts, trigger factors, action plans, management tools, incidents, as well as assessments and ratings are fully customizable (some assistance from the editor upon request...). You can create yourself forms and workflow that monitors the proper execution of audits... (alerts...).





An integrated solution to monitor specific operational risks; immediate ROI pillar II

**How to adopt an internal model to comply with Basel III standards, avoid risk add-ons, and especially reduce the level of allowances: pillar II? How to model the audit, incident reporting, monitoring, and oversight processes ?**

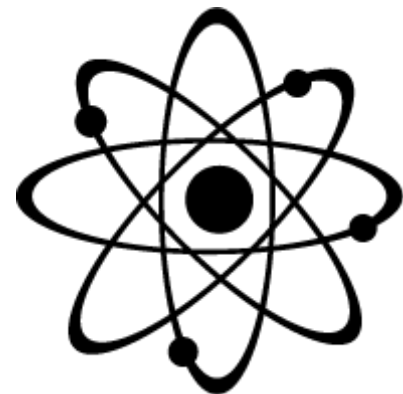
ROK Solution is the only solution on the market that **guarantees the integration of risks with the organization** (integrated solution). The approach comprehensively covers the entire organization. **Incidents are automatically reported in real time by workflow** (which you will design).



A collaborative solution shared with the network

**How to share with the network of geographically dispersed agencies? How to communicate, keeping in mind the cultures and IT equipment level of each party? This is a real challenge, which is always being called into question as this is never a static issue.**

ROK Solution is a Cloud solution that serves a thin client and can adapt to any level of industrialization. The solution offers **flexibility and security**. The interface can be securely hosted in any format (email and/or any Intranet) and provides for exchanges by email, chat, voice, video conf., etc.



## Change Management

Mapping the processes is easy (recovery of existing map, global analysis and Store). Existing maps may be recovered through a simple Excel interface. Otherwise, you could use the repositories in the **ROK Store**. The user interface can be hosted in the most appropriate place (ex. the Intranet). The solution is quick to implement and easy to share (**no change management**). Installation and settings in under 48 hrs for the whole network.